

#7 S11/02 ZFC

LERNER, DAVID, LITTENBERG, KRUMHOLZ & MENTLIK, LLP

600 South Avenue West
Westfield, New Jersey 07090

Telephone: (908) 654-5000
Facsimile: (908) 654-7866

FACSIMILE TRANSMITTAL SHEET

	<u>Fax Number</u>	<u>Company</u>
To: Delora Dillard	703-305-9822	USPTO
From: Traci French		
Date: November 1, 2002		

Number of Pages (Including Cover Page): 8**Client/Matter No: GOE/00001****Original Being Mailed? No****RE: Application No. 10/044,121****Delora,**

Thanks soooooooooo much for your help!!! Pursuant to your request, please see attached documents with respect to proper inventorship listed on the filing receipt.

THANKS!!!

NOTICE: The information contained herein is intended only for the addressee identified above. It may be or may include material which is confidential, attorney-client privileged, attorney work product, copyrighted, and/or inside information. If you are not the intended recipient, or a person responsible for delivering this message to the intended recipient, you are hereby notified that the unauthorized use, disclosure, distribution or copying is strictly prohibited and may be in violation of court order or otherwise unlawful. If you have received this transmission in error, please immediately notify us at (908) 654-5000 (Collect, if necessary) and return this document to us by mail.

LERNER, DAVID, LITTENBERG, KRUMHOLZ & MENTLIK, LLP

600 South Avenue West
Westfield, New Jersey 07090

Telephone: (908) 654-5000

Facsimile: (908) 654-7866

FACSIMILE TRANSMITTAL SHEET

	<u>Fax Number</u>	<u>Company</u>
To: Delora Dillard	703-305-9822	USPTO
From: Traci French		
Date: November 1, 2002		

Number of Pages (Including Cover Page): 9

Client/Matter No: GOE/00001

Original Being Mailed? No

Delora,

**Thanks soooooooooo much for your help!!! I will contact the contractors next time.
If you are able to fix these, you can just mail them to me - no need to fax them.
Please let me know if there is a problem.**

THANKS!!!

NOTICE: The information contained herein is intended only for the addressee identified above. It may be or may include material which is confidential, attorney-client privileged, attorney work product, copyrighted, and/or inside information. If you are not the intended recipient, or a person responsible for delivering this message to the intended recipient, you are hereby notified that the unauthorized use, disclosure, distribution or copying is strictly prohibited and may be in violation of court order or otherwise unlawful. If you have received this transmission in error, please immediately notify us at (908) 654-5000 (Collect, if necessary) and return this document to us by mail.

Registered No. **PA 060 761 255 US** Date Stamp
 UNIT 10: 0531
 Clerk: KNETZ
 05/13/02

Reg. Fee \$ 7.25 Special .3
 Delivery
 Handling \$ Return \$
 Charge
 Postage \$ 1.72 Registered \$
 Delivery \$
 Return \$

Domestic Insurance
 is Limited To
 \$25,000 International
 Insurance is Limited
 to \$500,000 (See Reverse)

Customer First-Last-Initial
 (Full Value \$) **SCULLY, SCOTT MICHAEL PESSER**
 (Please Print)
 Address
400 GARDEN CITY PLAZA
GARDEN CITY, NY 11530
ASSISTANT COMMISSIONER
FOR PATENTS
WASHINGTON, D.C. 20231

PS Form 3806, Receipt for Registered Mail (Customer Copy)
 February 1995 15505

KOMPAS, ET AL

USPN 10/152,533

Mailed 5/13/2002

Rec'd in USPTO 5/17/2002

Is your RETURN ADDRESS completed on the reverse side?

SENDER:
 • Complete items 1 and 2 for additional services.
 • Complete items 3, 4a, and 4b.
 • Write your name and address on the reverse side of the form so that we can return the card to you.
 • Attach this form to the front of the package, or on the back if space does not permit.
 • Write "Return Receipt Requested" on the package below the article number.
 • The Return Receipt will show to whom the article was delivered and the date delivered.

1. Article Addressed to:
ASSISTANT COMMISSIONER
FOR PATENTS
WASHINGTON D.C. 20231

2. Article Number
PA 060 761 255 US

3. Serviceable
☐ Certified
☐ Registered
☐ Insured
☐ Return Receipt for Merchandise
☐ COD

4. Date of Delivery
05/13/02

5. Received By: (Print Name)
X

6. Signature: (Addressee or Agent)

7. Addresssee's Address (Only if requested and fee is paid)

8. Addresssee's Address (Only if requested and fee is paid)

PS Form 3811, December 1994 102965-97-6-0173 Domestic Return Receipt

Thank you for using Return Receipt Service.

12/6/02

LAW OFFICES

LERNER, DAVID, LITTENBERG, KRUMHOLZ & MENTLIK, LLP800 SOUTH AVENUE WEST
WESTFIELD, NEW JERSEY 07090-1497(908) 654-5000
FAX: (908) 654-7866
www.ldlkm.com

PATENTS, TRADEMARKS AND COPYRIGHTS

September 4, 2002

SIDNEY DAVID
JOSEPH S. LITTENBERG
ARNOLD H. KRUMHOLZ
WILLIAM L. MENTLIK
JOHN R. NELSON
ROY H. WEPNER
STEPHEN B. GOLDMAN
CHARLES P. KENNEDY
PAUL H. KOCHANESKI
MARCUS J. MILLET
BRUCE H. SALES
ARNOLD B. DOMPIERI
KEITH E. GILMAN
ROBERT B. COHEN
MICHAEL M. TESCHNER
GREGORY S. GEWIRTZ
JONATHAN A. DAVID
SHAWN P. FOLEY
JEFFREY S. DICKEY
STEPHEN F. ROTH*NORTH CAROLINA BAR ONLY
*MARYLAND BAR ONLYTHOMAS M. PALISI
KIMBERLY V. PERRY
MICHAEL J. DOHERTY
MATTHEW B. DERNIER
EDWARD D. PERGAMENT
FRANK J. CHESKY II
LANCE Y. LIU
MICHAEL D. BRAUNSTEIN
SCOTT E. CHARNEY
LAWRENCE E. RUSS
APRIL M. MAYO
KONSTANTIN A. CAPLON
ORVILLE R. COCKING
JOSEPH J. OPALACH
PERRY M. FONSECAOF COUNSEL
LAWRENCE I. LERNER
DANIEL H. BOBIS
RAYMOND W. AUGUSTIN
HARVEY L. COHEN
OLEN M. DIEHLPATENT AGENT
ANDREW T. ZIDELCommissioner For Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231Re: Our File: TESSERA 3.0-299
Appln. No. 10/044,121
Filing Date: January 11, 2002
Group Art Unit: 2815

Dear Sir:

We are enclosing herewith copies of the filing receipt and executed Declaration for the above-identified patent application.

Please note that the Applicant(s) information should read as follows:

Philip Damberg, Cupertino, CA
Craig S. Mitchell, San Jose, CA
John B. Riley, Dallas, TX
Michael Warner, San Jose, CA
Joseph Fjelstad, Maple Valley, WA

Kindly issue a corrected filing receipt for the above-identified application as soon as possible.

Sincerely yours,

LERNER, DAVID, LITTENBERG,
KRUMHOLZ & MENTLIK, LLPARNOLD H. KRUMHOLZ
Reg. No. 25,428

AHK/tf

Enclosures



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/044,121	01/11/2002	2815	1628	TESSERA 3.0-299	12	60	5

CONFIRMATION NO. 8113

000530

LERNER, DAVID, LITTENBERG,
KRUMHOLZ & MENTLIK
600 SOUTH AVENUE WEST
WESTFIELD, NJ 07090

FILING RECEIPT



0000000007470030

Date Mailed: 02/13/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Philip Damberg, Cupertino, CA;
Craig S. Mitchell, San Jose, CA;
John B. Riley, Plano, TX;
Michael Warner, San Jose, CA;
Joseph Fjelstad, Maple Valley, WA;ORIGINAL
Filing receipt

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/261,059 01/11/2001

Foreign Applications

If Required, Foreign Filing License Granted 02/13/2002

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

Title

Stacked microelectronic assemblies and methods of making same

RECEIVED

FEB 19 2002

LDL&M

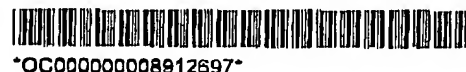


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, DC 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
10/044,121	01/11/2002	John B. Riley	TESSERA 3.0-299

CONFIRMATION NO. 8113

000530
LERNER, DAVID, LITTENBERG,
KRUMHOLZ & MENTLIK
600 SOUTH AVENUE WEST
WESTFIELD, NJ 07090

Date Mailed: 10/07/2002

RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

Claims, Fees, and Inventors

In response to your request for a corrected Filing Receipt, the Office is unable to comply with the request because:

- ☐ The total number of claims appearing on the Filing Receipt does not include multiple dependent claims. The total fee appearing on the Filing Receipt includes the cost of multiple dependent claims that were present at the time the application was filed.
- ☐ The filing fee is correct. It may include additional claims fees and/or the surcharge under 37 CFR 1.16(e) for filing an oath/declaration or basic filing fee after the application filing date; or it may not reflect fees refunded to the applicant that were paid by mistake.
- ☐ The number of claims reflected on the filing receipt is correct. Upon review of the claims, it was found that there was a miscalculation by the applicant. This may be due to improperly presented multiple dependent claims, typographical error, misnumbering of the claims, or other oversight. An amendment may be necessary to correct the problem.
- ☐ The filing fee reflected on the filing receipt is correct. Applicant may have miscalculated the fees due.
- ☐ Applicant calculated fees as other than small entity; however, applicant asserted small entity status in the application. Therefore, fees were applied as small entity and the remainder was refunded to the applicant.
- ☐ The difference between the fees paid and the fees due was refunded to the applicant and will not be shown on the filing receipt.
- ☐ The inventor information may be truncated if the family name consists of more than 50 characters (letters and spaces combined) and if the given name consists of more than 50 characters (letters and spaces combined).

LDLK&M

OCT 10 2002

RECEIVED

Preliminary Class

257

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

- ☐ The inventor's residence allows for up to 40 characters (letters and spaces combined).
- ☐ The inventor's residence will only include the city and state for U.S. residences or city and country for residences outside the U.S. (See MPEP 605.02).
- ☒ A petition to correct the inventorship is needed to make this change. See 37 CFR 1.48. For non-provisional applications, the petition should be directed to the Director of the examining group assigned to your application.
- ☐ Changes made after submission of an executed declaration to the inventor information other than correction of typographical errors must be submitted in the form of a substitute declaration. Change of inventorship requires a petition under 37 CFR 1.48.
- ☐ The number of drawings shown on the filing receipt reflects the number of drawing sheets submitted and is not necessarily equal to the number of figures submitted.
- ☐ The correspondence address was captured as directed by applicant on filing. If you wish correspondence to be directed otherwise, please submit a request for a change of address.
- ☐ The docket number allows a maximum of 25 characters.
- ☐ The person signing on behalf of the deceased inventor is reflected on the Filing Receipt as the legal representative.
- ☐ The filing date of a parent application cannot be changed by this request. A petition to correct the filing date in the parent application is required.


Customer Service Center
Office of Initial Patent Examination
(703) 308-1202



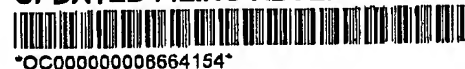
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/044,121	01/11/2002	2815	1758	TESSERA 3.0-299	11	60	5

CONFIRMATION NO. 8113

UPDATED FILING RECEIPT



OC000000008664154

000530
LERNER, DAVID, LITTENBERG,
KRUMHOLZ & MENTLIK
600 SOUTH AVENUE WEST
WESTFIELD, NJ 07090

Date Mailed: 08/22/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

John B. Riley, Dallas, TX;
Michael Warner, San Jose, CA;
Joseph Fjelstad, Maple Valley, WA;2nd Filing
Receipt

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/261,059 01/11/2001

Foreign Applications

If Required, Foreign Filing License Granted 02/13/2002

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

Title

Stacked microelectronic assemblies and methods of making same

LDLK&M

AUG 26 2002

RECEIVED

Preliminary Class**257**

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).